Miller & Martin's Financial Institutions Litigation group brings a dedicated focus to the often complex area of securities-related litigation.

Our attorneys work collaboratively with public and private companies and their officers and directors, as well as securities broker-dealers. Our representation includes defense of federal and state securities laws claims, including class action, derivative and individual claims alleging securities fraud, breach of fiduciary duty and making false or misleading statements. We also have extensive experience representing broker-dealers in actions brought by customers in state courts, federal courts and in National Association of Securities Dealers (NASD) arbitrations. We assist clients in both formal investigations and informal inquiries by the U.S. Securities Exchange Commission and counsel clients on securities law compliance and avoiding litigation.

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